



# TITLE I, PART A, LEA ALLOCATION METHODOLOGY

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## Statutory and Regulatory Basis

- ESEA, Title I, Part A, Subpart 2 (s. 1121 – 1127) – allocation formulas
- ESEA, Title I, s. 1003 (school improvement reservations)
- 34 CFR s. 200.64, et seq. (allocation procedures)
- 34 CFR s. 200.100 (state reservations)



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# COMPONENTS OF ALLOCATIONS

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- Basic
- Concentration
- Targeted
- Education Finance Incentive Grant (EFIG)

## DEFINITION OF FORMULA CHILDREN

“Formula Children” include children ages 5 – 17, who are:

Students in poverty

Neglected and Delinquent

In Foster Care



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# FEDERAL ALLOCATIONS TO STATES

## USED Title I Allocations to States

- USED provides initially determined allocations for traditional school district LEAs for Basic, Concentration, Targeted, and EFIG\*\*
- Based on lag year (can be 2 year old) “census” data
- For traditional LEAs, calculations by USED include formula counts\*\*

## Key USED Guidance on LEA Allocations

- SEA Procedures for Adjusting Basic, Concentration, and EFIG Allocations Determined by USED, dated 5/23/03
- Non-Regulatory Guidance: Fiscal Changes and Equitable Services Requirements under the ESEA of 1965, as amended by ESSA, dated 11/21/16





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# Step 1 – Adjust Formula Counts

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SEA estimates the # of formula children for special LEAs not on Census list that fall into one of three categories:

- New LEA created as a result of Consolidation;
- New LEA that is created from parts of LEAs on the Census list; or
- **Charter Schools and other “special LEAs”**

## **Step 1 – Adjusting Formula Counts for Special LEAs**

Use survey 3 enrollment and FRPL data to derive estimate of Census poverty children in each special LEA

Adjust USED formula counts for sending LEAs

Determine eligibility for Basic, Concentration, Targeted and EFIG for all traditional and special LEAs

## **USED 2003 Guidance “Example 3”**

Example 3 is used to determine formula counts for lab schools and charter LEAs

## **USED 2003 Guidance “Example 4”**

Example 4 is used to determine whether lab schools and charter LEAs are eligible for Basic, Concentration, Targeted and EFIG

## Eligibility for Basic Grant

An LEA is eligible for a Basic Grant if the # of Formula Children is

- At least 10; **and**
- Greater than 2% of the LEA's total population ages 5-17

## Eligibility for Concentration Grant

An LEA is eligible for a Concentration Grant if:

- The LEA is eligible for a Basic Grant; and
- The number of Formula Children exceeds:
  - (i) 6,500; **or**
  - (ii) 15% of the LEA's total population ages 5-17

## Eligibility for a Targeted Grant

An LEA is eligible for a Targeted Grant if the number of Formula Children is:

- (1) At least 10; **and**
- (2) At least 5% of the LEA's total population ages 5-17



## Eligibility for EFIG Grant

An LEA is eligible for an EFIG grant if the number of Formula Children is:

- (1) At least 10; **and**
- (2) At least 5% of the LEA's total population ages 5-17

## **USED 2003 Guidance “Example 5”**

Example 5 is used to determine formula counts for Florida Virtual School (FLVS) and Florida School for the Deaf and the Blind (FSDB).

## **USED 2003 Guidance “Example 6”**

Example 6 is used to determine eligibility for FLVS and FSDB for Basic, Concentration, Targeted and EFIG grants, using eligibility criteria already described.



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## **Step 2 – Adjust ED-determined Allocations Based on Adjusted Formula Counts**

## Step 2a - Adjust Initial USED Allocations based on Adjusted Formula Counts

- SEA determines the per-Formula child amount for each LEA based on the initial USED allocation
- SEA reduces each LEA's initial allocation by an amount equal to the per-Formula child amount times the # of Formula children transferred to a special LEA
- Special LEA's receive an amount from each sending LEA equal to the per-Formula child amount times the # of Formula children received

## What happens to funds allocated to LEA that is not eligible?

- Any amounts for Basic, Targeted and EFIG allocated to an LEA that is not eligible revert to the SEA and are part of the funds available to reserve for school improvement or State administration.
- If a sending LEA is not eligible for a Concentration Grant, but has received a Concentration Grant within the past four years, the funds remain with that LEA.

## **USED 2003 Guidance “Example 9”**

Example 9 is used to determine the adjusted allocations for Basic, Concentration, Targeted and EFIG grants.

## Step 2b - Applying the Hold-Harmless to Each Grant

Variable Hold-Harmless Percentage:

% Formula Children 5-17	Hold Harmless %
30% or more	95%
15% or more, less than 30%	90%
Less than 15%	85%



## Step 2b – Applying the Hold Harmless to Each Grant

- Under Basic, Targeted, and EFIG, HH applies to an LEA that meets the eligibility criteria;
- Under Concentration, HH applies to an LEA for four years after it last met the eligibility criteria
- An SEA must apply the HH using ratable reductions on a formula-by-formula basis.



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## Step 3 – Add Initial Adjusted Allocations for all Title I, Part A Formulas



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## Step 4 – State Reservations for School Improvement and State Administration

## Step 4a: Reservation for School Improvement

SEA must reserve the Greater of the following for School Improvement:

- 7% of the state’s Title I award; or
- The sum of the total FY 2016 1003(a) and 1003(g) SIG allocations

SEA must ratably reduce the allocations of all LEAs to reserve this amount and must follow the “special rule”

## Step 4a – Special Rule

In reserving School Improvement funds, an SEA may not reduce an LEA's allocation below the prior year's amount.

The “prior year amount” can be

- Amt. before S.I. or Admin is taken out (step 3);
- Amt. with only S.I. taken out (step 4a); or
- Amt. with both S.I. and Admin is taken out (step 4b).

## Step 4b – Reservation for State Admin

- ESEA authorizes 1% for state admin, but the amount is capped when the total federal awards exceed \$14 billion. In that case, USED provides the amount that can be reserved.
- USED guidance offers two options:
  - Each LEA contributes proportionally, even if the LEA falls below their hold-harmless amount; or
  - Ratably reduce those LEAs that are above their hold-harmless amounts to bring up those that fall below their hold-harmless amounts

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